



16w

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q80019

Su-hyun NAM, et al.

Appln. No.: 10/809,447

Group Art Unit: 2615

Confirmation No.: 8134

Examiner: NOT YET ASSIGNED

Filed: March 26, 2004

For: AUDIO/VIDEO STREAM PROCESSING SYSTEM AND METHOD FOR DATA COPY  
PROTECTION

**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

Respectfully submitted,

Darryl Mexic  
Registration No. 23,063

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: May 25, 2005



**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q80019

Su-hyun NAM, et al.

Appln. No.: 10/809,447

Group Art Unit: 2615

Confirmation No.: 8134

Examiner: NOT YET ASSIGNED

Filed: March 26, 2004

For: AUDIO/VIDEO STREAM PROCESSING SYSTEM AND METHOD FOR DATA COPY  
PROTECTION

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003. Also, enclosed is a European Office Action in a corresponding European Patent Application, along with the references cited therein.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/809,447

Attorney Docket No.: Q80019

on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a statement can be made, a statement is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



Darryl Mexic

Registration No. 23,063

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: May 25, 2005

|   |                        |        |  |                      |                  |
|---|------------------------|--------|--|----------------------|------------------|
| Substitute for Form 1449 A & B/PTO  |                        |        |  | Complete if Known    |                  |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(use as many sheets as necessary) |                        |        |  | Application Number   | 10/809,447       |
|   |                        |        |  | Confirmation Number  | 8134             |
|   |                        |        |  | Filing Date          | March 26, 2004   |
|   |                        |        |  | First Named Inventor | Su-hyun NAM      |
|   |                        |        |  | Art Unit             | 2615             |
|   |                        |        |  | Examiner Name        | NOT YET ASSIGNED |
| Sheet 1 of 1  | Attorney Docket Number | Q80019 |  |                      |                  |

| U.S. PATENT DOCUMENTS |                       |                 |                                      |                                |   |
|-----------------------|-----------------------|-----------------|--------------------------------------|--------------------------------|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|                       |                       | Number          | Kind Code <sup>2</sup><br>(if known) |                                |   |
|                       |                       | US 2002/0156742 | A1                                   | 10/24/2002                     | OGINO ET AL.                                    |
|                       |                       | US 2003/0101140 | A1                                   | 5/29/2003                      | SO ET AL.                                       |
|                       |                       | US 2002/0114459 | A1                                   | 8/22/2002                      | BELENKO ET AL.                                  |
|                       |                       | US              |                                      |                                |   |
|                       |                       | US              |                                      |                                |   |
|                       |                       | US              |                                      |                                |   |
|                       |                       | US              |                                      |                                |   |
|                       |                       | US              |                                      |                                |   |
|                       |                       | US              |                                      |                                |   |

| FOREIGN PATENT DOCUMENTS |                       |                           |                     |                                      |                                |   |                          |
|--------------------------|-----------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|---|--------------------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Translation <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                |   |                          |
|                          |                       | EP                        | 1 126 453           | A2                                   | 8/22/2001                      | MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.        |                          |
|                          |                       | EP                        | 0 924 930           | A2                                   | 6/23/1999                      | HITACHI LTD.                                    |                          |
|                          |                       | EP                        | 1 202 271           | A2                                   | 5/2/2002                       | PIONEER CORPORATION                             |                          |
|                          |                       | EP                        | 1 005 040           | A1                                   | 5/31/200                       | SONY CORPORATION                                |                          |
|                          |                       |                           |                     |                                      |                                |   |                          |
|                          |                       |                           |                     |                                      |                                |   |                          |
|                          |                       |                           |                     |                                      |                                |   |                          |
|                          |                       |                           |                     |                                      |                                |   |                          |
|                          |                       |                           |                     |                                      |                                |   |                          |
|                          |                       |                           |                     |                                      |                                |   |                          |

| NON PATENT LITERATURE DOCUMENTS |                       |  |                          |
|---------------------------------|-----------------------|--|--------------------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
|                                 |                       |  |                          |
|                                 |                       |  |                          |
|                                 |                       |  |                          |
|                                 |                       |  |                          |
|                                 |                       |  |                          |

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.